

The following questionnaire is intended to assist investors in assessing whether their target companies meet the vast majority of the following standards consistent with Investment Principles for Best-in-Class Coal Companies.

Sustainable Mining

Operate safe workplaces, commit to continuous improvement in incidence rates and establish safety as a top priority principle.

- Does the company have a statement in its Corporate and Social Responsibility Report committing to the goal of an incident-free workplace?
- Is the company a participant in the National Mining Association's CORESafety® program?
- Is the company's annual reportable injury frequency rate below the industry average?

Maximize resource recovery.

- Does the company have a statement in its Corporate and Social Responsibility Report committing to reasonable steps to maximize resource recovery?

Seek ongoing improvement in environmental performance.

- Does the company document its environmental activities in its Corporate and Social Responsibility Report?
- Does the company have water management and water recycling programs?
- Does the company have fuel efficiency and energy efficiency programs?
- Has the company continuously improved its greenhouse gas emissions intensity?
- Does the company define its position on climate change and carbon dioxide?
- Does the company have a comprehensive recycling program?
- Has the company been acknowledged by independent third parties for environmental performance?

Disclose which mines provide mountaintop-removal-free production.

- Does the company disclose coal production from its mines as mountaintop-removal-free in the U.S.?

Commit to restoring mined lands for generations that follow.

- Does the company provide a statement that commits to good stewardship of mined lands through reclamation practices in its Corporate and Social Responsibility Report?
- Does the company provide the acres of reclaimed and disturbed lands in its Corporate and Social Responsibility Report?
- Does the company collaborate with peers, academic institutions, governments and other stakeholders on projects, both domestically and internationally, to share best practices?

Respect human rights and indigenous people who are potentially impacted by mining activities.

- Does the company support fundamental principles of human rights?
- Does the company have programs to support local employment and engagement with indigenous people?

Energy Access

Drive partnerships and policy to achieve universal access to modern electricity.

- Does the company publicly support the need for universal access to modern electricity in company statements, speeches and presentations, and written comments?
- Does the company engage with governments to promote policies to increase energy access?
- Does the company serve in leadership roles in associations that promote access to modern electricity?

Engage with government, academia and other stakeholders to address major energy challenges.

- Does the company engage with government officials and their staff to find solutions to energy challenges?
- Does the company disclose its political and lobbying activities?
- Does the company provide leadership to academic institutions and trade associations to address major energy challenges?

Clean Coal Technologies

Support greater deployment of advanced coal technologies and next-generation carbon capture, use and storage technologies.

- Does the company invest in advanced coal technologies and next-generation carbon capture, use and storage technologies?
- Does the company serve in a leadership capacity in associations that support advanced coal technologies?

Support and drive policies to achieve the goal of near-zero emissions in the world's next-generation coal-based electricity generation fleet.

- Does the company publicly support driving toward near-zero emissions in its Corporate and Social Responsibility Report?
- Does the company engage with governments, industry and other stakeholders to promote policy parity for advanced coal technologies?